

Profile of Phillip Capital Management

A member of PhillipCapital Group

Phillip Capital Management Sdn Bhd(PCM) is a member of PhillipCapital which is a group of companies that offers a full range of quality and innovative financial services to retail, corporate and institutional investors. Established in 1975 as Phillip Securities Pte Ltd, PhillipCapital has grown steadily and progressively into an integrated financial services provider with more than 2,800 staff in Asia and Europe. Presently, its total shareholders' funds are in excess of US\$600 million.

Today, its member companies operate in 10 financial hubs, with offices in Singapore, United Kingdom, France, China, Hong Kong, Thailand, Japan, Australia, Malaysia and Indonesia. In Singapore, its members include Phillip Securities, Phillip Securities Research, Phillip Futures, Phillip Financial, Phillip Capital Management, CyberQuote, Phillip Trading, IFS Capital Limited and Phillip Private Equity.

The group provides a comprehensive suite of financial services to individual and corporate investors as well as institutions. The products and services range from fund management, unit trusts, hedge funds, insurance planning, mortgage loans, investment research and broking in bond, securities, futures, foreign exchange, precious metals and commodities. Institutions can also benefit from its corporate finance and advisory services as well as information technology solutions.

In Business Since 1995

In Malaysia, PCM was incorporate on 13 February 1995 and commenced operations on 1 April 1995 with a paid-up capital of RM 6.2 million. The company is 70% owned by PhillipCapital group and 30% owned by Inai Purnama Sdn Bhd.

PCM holds a fund manager licence under the Capital Markets and Services Act 2007 to carry out fund management and investment advisory services. Presently, the company has eight licensed fund managers and it manages a total of RM400m fund for corporates, institutions and high net worth individuals.

PCM is one of the Fund Management Institutions (FMIs) appointed by the Ministry of Finance for the EPF's Investment Scheme for its Members. It is also the appointed fund manager for the unit trust funds of Phillip Mutual Berhad, another member company of the PhillipCapital Group.

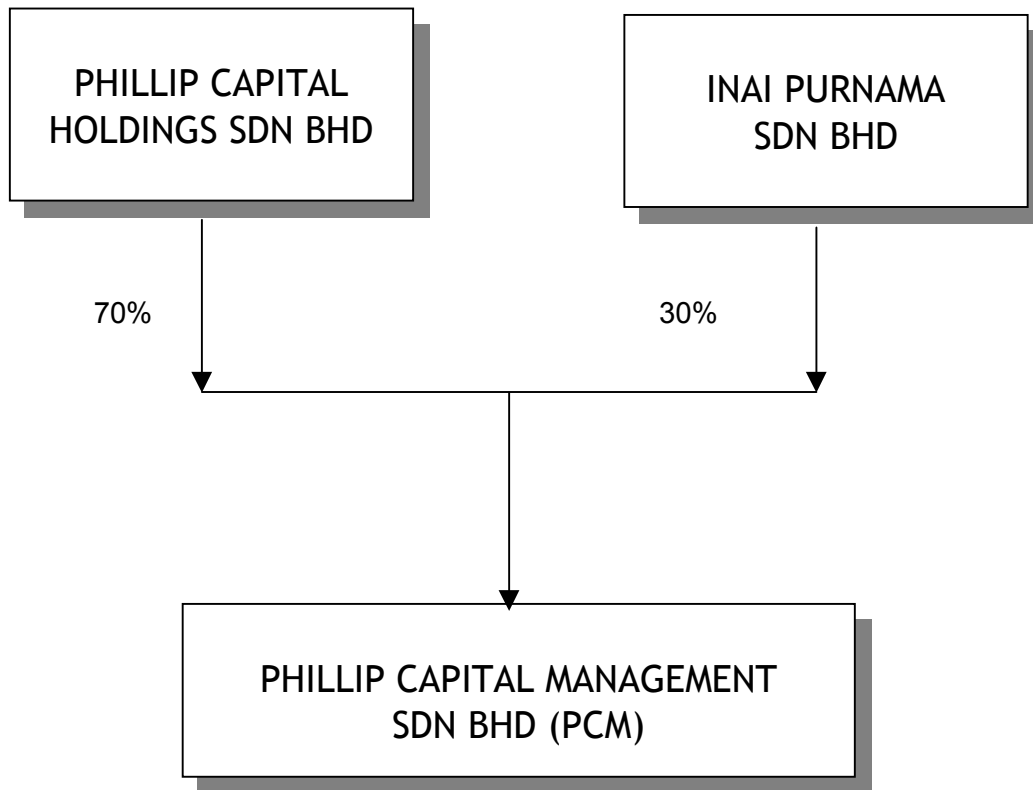
Synergy within the Group

PCM has the support and commitment of its parent company. As a member of the larger PhillipCapital group, PCM benefits from the wider network, stronger infrastructure support and experience of the Group.

The established infrastructure of the Group allows PCM to have a comprehensive in-house fund management system which is not matched by any other asset management companies in Malaysia.

On top of the regular transfer of expertise among the diverse entities within the PhillipCapital Group, all country and department heads gather to brainstorm twice a year. Thus, providing a platform for senior management to enrich their knowledge and widen their perspective.

Corporate Structure



Board of Directors

The Board consists of the following members :-

- **Datin Hajjah Nona Binti Salleh**
- **Mr Andy Lim Say Kiat**

Investment Committee

The Investment Committee consists of the following members :-

- **Datin Hajjah Nona Binti Salleh**
- **Mr Andy Lim Say Kiat**
- **Mr Ang Kok Heng**

Investment Team

We have a strong team of investment professionals comprising very experienced fund managers who have more than 10 years investment knowledge as well as young dynamic executives who regularly came out with innovative ideas.

Datin Hajjah Nona Binti Salleh, CFP

Chairperson

*BSc(Hons) in Economics, London School of Economics, University of London,
Investment Management Certificate (IIMR), United Kingdom*

Over the 14 years, she has accumulated experience through her involvement in the investment management and strategy of her clients' equity portfolios and unit trusts, namely the Employees Provident Fund (EPF), Lembaga Tabung Haji, Koperasi Jaya Diri, Double Growth Fund and Emerging Growth Fund (BHLB Unit Trusts) as well as Mayban Dana Yakin (Maybank Syariah-based unit trust). Prior to re-joining the PhillipGroup in 2001 to head its Malaysian operation, Puan Nona has held several key positions in investment management and research, including the positions of Associate Investment Director of BHLB Asset Management, a subsidiary of Ban Hin Lee Bank (now merged with CIMB Bank) and Chief Investment Officer at Mayban Investment Sdn Bhd, a subsidiary of Malayan Banking Berhad.

Mr Andy Lim Say Kiat, CFA, CFP

Managing Director

BSc(Hons) in Economics, London School of Economics, University of London

Mr Andy Lim joined the PhillipCapital Group in 1998 as a Dealer advising company's clients in its Malaysian futures operation. He specialised in the KLSE CI Futures traded in the Kuala Lumpur Options and Financial Futures Exchange (KLOFFE). He was transferred to PCM in 1999 as an Assistant Manager overseeing high net worth individuals' portfolios and at the same time appointed as the firm's resident technical chartist.

Moving up the ranks over the years, Mr Andy Lim is currently spearheading the financial planning unit of the group, Phillip Wealth Planners Sdn Bhd. He is a regular speaker for investment clubs and seminars.

Mr Ang Kok Heng, CFA
Chief Investment Officer

BSc(Hons) in Mechanical Engineering, University of Malaya

Master of Business Administration, Universiti Kebangsaan Malaysia

Fellow Member of The Chartered Institute of Management Accountants, UK

Charterholder of CFA Institute, USA

Mr Ang joined the PhillipCapital Group in January 2007 as the Chief Investment Officer. He brings along with him close to 20 years experience in equity research and investment having worked in TA Securities as the head of research for 7 years and thereafter as the head of investment in TA Asset Management Sdn Bhd for another 12 years.

Mr Ang has very extensive experience in the capital market in Malaysia and his views are well sought after by local and foreign journalists. He was an investment columnist for New Straits Times for three years and he also acted as the investment consultant for a medium size composite insurance company for several years.

While in his previous employment, he led a team of fund managers to win several awards for the unit trust funds managed under him.

Mr William Wermine (Bill)
Assistant Vice President, Investment

BA (Communications), University of Maryland, USA

Mr Wermine has over 30 years experience in the financial industry. He has worked as a share broker, futures dealer, trader, financial adviser and unit trust consultant for a number of US and Malaysian financial firms. He had contributed a monthly column titled Traders Tool Box to Investors Digest and Journal of the KLSE. Mr Wermine specialises in Technical Analysis and he has initiated a Swing Trading Club for local investors.

Mr Jeffrey Lim Seow Leong

Assistant Vice President, Investment

CIMA Finalist

Mr Jeffrey Lim joined the PhillipCapital Group in 1993. He had assumed various posts in the Group before being promoted to head the IT, Futures & Options operation of the Group in Malaysia in 2000. Jeffrey joined the Group's Malaysian fund management team in July 2003 and holds a Fund Manager's representative license.

PhillipCapital Group Global Network



Worldwide Affiliated Offices

Singapore

Phillip Securities Pte Ltd
Phillip Futures Pte Ltd
Phillip Trading Pte Ltd
Phillip Securities Research Pte Ltd
Phillip Financial Pte Ltd
Phillip Capital Management (S) Ltd
Phillip Private Equity Pte Ltd
CKS Property Consultants Pte Ltd
CyberQuote Pte Ltd

Malaysia

Phillip Capital Management Sdn Bhd
Phillip Mutual Berhad
Phillip Wealth Planners Sdn Bhd
PC Quote (M) Sdn Bhd
CQ Consultancy Sdn Bhd

Hong Kong

Phillip Securities (HK) Ltd
Phillip Commodities (HK) Ltd
Phillip Finance (HK) Ltd
Phillip Asset Management (HK) Ltd
Phillip Financial Advisors (HK) Ltd
Phillip Institute of Financial Learning
Co Ltd
CyberQuote (HK) Ltd

China

Phillip Financial Advisory Shanghai
Co. Ltd

Indonesia

PT Phillip Securities Indonesia

Thailand

Phillip Securities (Thailand) Public Co.
Ltd

Japan

PhillipCapital Japan K.K.
Phillip Futures K.K.
PhillipCapital Real Estate Japan Y.K.
The Naruse Securities Co. Ltd
Phillip Financials K.K.

United Kingdom

King & Shaxson Ltd
King & Shaxson Capital Limited
King & Shaxson Asset Management
Walker, Crips, Weddle, Beck PLC

Australia

Intersuisse Ltd

France

King & Shaxson Capital Limited