PhillipCapital
Your Partner In Finance

About

PHILLIP WEALTH PLANNERS

Sdn Bhd (226230-D)

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Phillip Wealth Planners Sdn Bhd

Phillip Wealth Planners (PWP), *a member company of the Phillip Capital Group, was incorporated on 7 October 1991 as Phillip Securities Research Sdn Bhd with a total authorised, issued and paid-up capital of RM500,000. The company changed its name to Phillip Wealth Planners Sdn Bhd on 22 October 2002. On 27 July 2005, PWP was granted the Investment Adviser's License pursuant to the Securities Industry Act 1983 which enabled PWP to carry out the regulated activity of financial planning and wealth management services for individuals and institutions.*

On 2 December 2010 PWP was granted the Financial Adviser (FA) License from Bank Negara Malaysia pursuant to the Insurance Act 1996. PWP currently holds the following licenses from:

- *the Securities Commission (License no: CMSL/A018/2007)*
- *Bank Negara Malaysia (License no: 0048 & 0067)*

With the proliferation of financial products from basic risk protection to more sophisticated financial products for retirement and asset accumulation, it is important for consumers to have access to sound professional advice to help them work through the wide range of financial solutions which involve increasingly complex structures, and to avail themselves on solutions that will best suit their needs and capacity.

"It takes as much energy to wish as it does to plan."
- Eleanor Roosevelt

To meet this need, PWP, through her licensed representatives, is in the position to offer comprehensive financial planning services encompassing investment, insurance and risk management, retirement and estate planning to individuals. For her institutional and corporate clients, PWP's services include consulting and implementing corporate investments programs and insured employee benefit programs as well as other forms of commercial insurances such as property, liability and trade credit.

At PWP, our philosophy in business is based on a simple premise – that in all we do; our Client's interest comes above everything else.

MISSION

PWP aims to become an excellent one-stop wealth management company through:

Excellent Customer Care
- *Prudent wealth management advice and solutions;*
- *Timely and effective implementation of clients' financial plans;*
- *Prompt, accurate, innovative and courteous services.*

Product Leadership
- *Search for, development and provision of innovative, high value and distinctive products and services for clients and business partners;*

Operations Excellence
- *Efficient and effective operations system;*
- *User-centric web based IT systems*
- *Healthy working environment for employees, financial advisers and business partners*

PWP AND WEALTH MANAGEMENT

When it comes to financial planning and wealth management, we at PWP recognize that different people have different needs and therefore will require solutions which are customized to suit their special requirements. This is why at PWP, we believe that in order to consistently provide quality solutions to our clients, there are four essential areas which are important and which form the foundation on which our services and solutions are based.

Professionalism
A Wealth Planner with PWP must always place his/her client's needs first. Essentially, a Wealth Planner is a partner to the client and therefore should only act with utmost good faith for and on behalf of the client.

Reliable
Reliability and dependability form the basis of trust in the relationship between a planner and his/her client. Therefore Wealth Planners at PWP with the support of effective Customer Service and Operations Systems ensure efficient service delivery at all times.

Affordable
Everyone, regardless of their financial standing, will need some form of Wealth Management planning. Therefore, at PWP great emphasis is placed on providing consistently high quality products and services at the lowest possible costs for everyone.

Peace of Mind
Among the important aspects of having a proper financial plan in place is to be able to enjoy peace of mind. Towards this end, we constantly strive to ensure that our products and solutions are geared towards helping our clients enjoy just that … peace of mind… while their financial goals are being achieved.

SERVICES

PWP offers wealth management services in the following areas of comprehensive financial planning:

Wealth Creation and Accumulation
To help clients achieve their financial goals, we offer:

- *Comprehensive or Modular Financial Planning Services – depending on the client's requirement, our Wealth Planners provide consultation and advisory services in assisting the client to create, implement and monitor their own personal financial plans.*
- *Investment Advisory Services – we offer advice on professional money management and unit trust funds in collaboration with Fund Managers in our sister companies Phillip Capital Management (PCM) and Phillip Mutual Berhad (PMB) respectively.*
- *Access to a Wide Range of Investment Products – through PCM and PMB, clients enjoy access to a wide range of money management instruments and investment products/funds both locally and abroad.*

Wealth Protection and Preservation
In the area of risk management and insurance planning, we provide independent insurance advice, risk management and placement services. Through our association with most major Insurance and Takaful providers, our clients can have access to a wide range of insurance products and services offered by the insurance and Takaful industry.

Some of the products/services are:

- *Life insurance and Family Takaful products – both conventional and investment-linked.*
- *Personal General Insurance products such as motor vehicle, houseowner/householder, travel insurances, etc.*
- *Medical and related Insurance products – hospital and surgical, and Critical Illness insurances.*
- *Group Insured Employee Benefit Programs – Group Term Life, Personal Accident, and Hospital and Surgical insurances.*
- *Commercial Lines – Property and Liability insurances, Marine, Trade Credit insurances.*

Platform Support

One of the key strengths in the services provided by the Phillip Capital Group to her clients as well as to Wealth Planners and Advisers lie in the comprehensive online platform and systems. These are:

- *FAME (Financial Access Made Easy)*
- *POEMS (Phillip Online Electronic Mart System)*
- *eUnit Trust*
- *PhillipInsure*
- *PlanPlus preferred subscription*

PWP – a member company of the Phillip Capital Group

The Phillip Capital Group

The Phillip Capital Group was founded in 1975 in Singapore. Today the Group is represented by member companies which operate in the financial hubs of 16 countries, including Singapore, Malaysia, Hong Kong, China, Japan, Indonesia, Thailand, United Kingdom, Australia, France, Sri Lanka, United States, Turkey, Cambodia, India and Dubai. Collectively, our members have extensive branch networks with more than 3,500 staff and total shareholders' fund in excess of US$1 billion.

Directly or as intermediaries, the Group offers a full range of financial services which include fund management, bond and securities broking, futures, foreign exchange, precious metals and commodities broking, unit trusts, hedge funds, home loans, insurance, property consultancy, investor education and investment research.

In Malaysia, the Phillip Capital Group is represented by a group of companies headed by Phillip Capital Holdings Sdn Bhd.