



PROFILE

Personal Details

Full Name : **YAU MIN SIN, ALWIN**

Date of birth / Age : 10 January 1963
50 years old

NRIC numbers : (New): 630110-08-5567
(Old) : 6966456

Gender / Nationality : Male
Malaysian

Race / Religion : Chinese / Buddhist

Marital Status : Married

Residential address : No. 9, Jalan Dagang 5A, Ampang Villas,
Taman Dagang Jaya, 68000 Ampang,
Selangor Darul Ehsan, Malaysia

Contact numbers : 019 3232163

E-mail address : alwinyau@retireriche.com

Expected Salary : Negotiable

Websites : www.retireriche.com or www.walkwithwealth.com

ACADEMIC & PROFESSIONAL QUALIFICATIONS

<u>University & Institutes</u>	<u>Month/Year</u>	<u>Master Degree / Diploma / Certificates</u>
University of Hull, UK	April 1999	Master of Business Administration (Banking & Finance)
The Chartered Association of Certified Accountants, UK	April 1989	Certified Diploma in Accounting & Finance
Malaysian Institute of Management	Dec 1989	Certificate in Small Business Management
Malaysian Institute of Bankers	July 1989	Certificate in Banking & Finance
London Chamber of Commerce & Industry Examinations Board, UK	Nov 1986	Certificates in Management Accounting, Commercial Arithmetic and Cost Accounting

CURRICULUM VITAE

PROFESSIONAL - Licence & Memberships

<u>Professional Institutes/Bodies</u>	<u>Month/Year</u>	<u>Membership</u>
Securities Commission	September 2008	Capital Markets Services Representative's License
Malaysian Institute of Bankers	July 1999	Member
Malaysian Institute of Management	June 1996	Member
Malaysian Institute of Training & Development	June 1994	Associate Member
London Chamber of Commerce & Industry Examinations Board, UK	September 1988	Licentiate Member

WEALTH MANAGEMENT – Qualifications & Training Courses

<u>Professional Institutes/Bodies</u>	<u>Month/Year</u>	<u>License Certificates</u>
Certified Financial Planner Board, USA	May 2003	Certified Financial Planning
General Insurance Association of Malaysia	October 2002	Registered General Insurance Agent
AIA Company Limited	July 2002	Qualifier in the Recruiting Challenge Certificate
Federation of Malaysian Unit Trust Managers	April 2002	Unit Trust Certification
The Malaysian Insurance Institute	Mar 2003	Basic Agency Management Certificate
The Malaysian Insurance Institute	April 2002	Investment-linked Life Insurance Certificate
The Malaysian Insurance Institute	March 2002	Life Insurance Certification
The Malaysian Insurance Institute	July 2002	General Insurance Certification

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PROFESSIONAL – External Continuing Education Programmes & Training

<u>Professional Bodies/Trainers/Others</u>	<u>Month/Year</u>	<u>Courses & Training Attended</u>
Malaysian Islamic Finance Training Centre	April 2007	Islamic Finance Impact Series: Introduction to Islamic Banking & Finance (Passed Assessment)
Malaysian Investment Bankers Association (MIBA) & MAICSA	August 2006	The Revamp MESDAQ Listing Requirements, Policies & Guidelines
Malaysian Institute of Bankers & MIBA	May 2006	Managing the risk of money laundering and financing of terrorism in investment banks (Anti Money Laundering)
<u>Professional Bodies/Trainers/Others</u>	<u>Month/Year</u>	<u>Courses & Training Attended</u>
PriceWaterhouseCoopers	March 2006	New FRS Awareness - Understanding
MIBA	August 2005	Workshop on Business writing that works
EON Bank Group Training Centre	March 2005	Performance Management Programme
MIBA & Raslan Loong Partners	October 2004	Professional Liability
Malaysian Institute of Bankers	Sept 1998	Negotiation In Loan Recovery & Workout Situation
Mutiskills Consulting	October 1998	Effective Supervisory Skills
Equipment Leasing Association of Malaysia (ELAM)	July 1994	Equipment Financing
Asian Management Research Consultancy Sdn Bhd	Dec 1993	Programme on Human Relations & Team Building
AFCM Training Services Sdn Bhd	January 1993	Selling Skills for Consumer Loan Officers
Association of Finance Companies of Malaysia (AFCM)	January 1992	Amendments to the Hire-Purchase Act 1967
Malaysian Institute of Management	October 1989	How to control your business?
University of Malaya (Extra-Mural Studies)	June 1989	Introduction to Malaysian Land Law

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Bursa Malaysia Securities Berhad - Continuing Education Programmes (CEP)

Research Institute of Investment Analysts Malaysia (RIIAM is an affiliate of Bursa Malaysia Securities Berhad) RIIAM	<u>Month/Year</u>	<u>Director's CEP</u>
RIIAM	January 2004	Mandatory Accreditation Programme
RIIAM	Dec 2003	Corporate Disclosure Rules & Regulations in Malaysia
RIIAM	Dec 2003	Managing audit & compliance issues in Corporate Finance
RIIAM	Nov 2003	The Audit Committee from KLSE's (Bursa Securities) perspective
RIIAM	October 2003	Practical aspects in conducting general meetings of public listed companies
Malaysia Institute of Corporate Governance	August 2003	Directors & Managements' Role and Responsibilities.

EMPLOYMENT HISTORY

Employment Profile & Work Experiences

<u>Name of Employer</u>	<u>Designation held</u>	<u>From Month/Year</u>	<u>To Month/Year</u>	<u>Description of duties/responsibilities</u>
Financial Planning Representative for Capital Management Sdn Bhd, Phillip Wealth Planners Sdn Bhd.	Senior Wealth Coach, Licensed Financial Adviser, Certified Financial Planner	June 2010	Present 2013	Wealth Coaching and Training, Financial Advisory Services, Sales and Marketing of Wealth Management products like equities, life and general insurance, mortgage planning, mutual funds, financial planning and estate planning.
MIMB Investment Bank Berhad (a subsidiary of EON Bank Group) (6 years)	Senior Manager	August 2004	May 2010	Corporate Finance and Debt Capital Markets, Finance & Administration.
APP Industries Berhad (A main board listed Company in Bursa Malaysia Securities)	Senior Manager (1 year contract as an Advisor)	July 2003	June 2004	Corporate Finance & Corporate Affairs (1 year contract after listed in Bursa Securities.)

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Private Financial Consultancy Firm (4 years)	Senior Financial Adviser	August 1999	June 2003	Provide financial consulting services & prepared pre-IPO listing exercises for various SMI/SME and corporate clients. Consulting and marketing of wealth management products for high net-worth clients.
AMBank Berhad, Southern Bank Berhad, OUB Finance Berhad and CIMB Bank Berhad (formerly know as Bank of Commerce Berhad) (15 years attached to Retail and Commercial Banking Department in previous three commercial banks)	Bank Executive level promoted to Branch Manager and Head of Mortgage Supervision of Branches.	June 1984	July 1999	Provide financial services and supervision, training, coaching, monitoring of credit and marketing division of retail consumer banking for 22 branches nationwide. Sales and credit marketing of SMI/SME loans, mortgages, enterprise credit and commercial corporate loans. Credit analyst and evaluation. Branch Operations; Finance & Credit Admin; Deposits; Remittances and Custodian.
